

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

28053/37955

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/ 009023

INTERNATIONAL APPLICATION NO.
PCT/AU01/00392

INTERNATIONAL FILING DATE
April 6, 2001

PRIORITY DATE CLAIMED
April 6, 2000

TITLE OF INVENTION

STARCH SUB-TYPES AND LIPID METABOLISM

APPLICANT(S) FOR DO/EO/US

BROWN, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Return receipt postcard

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.137(a) or (b))		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER																																																																																	
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<div>24. The following fees are submitted:</div> <div>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</div> <div><input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00</div> <div><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00</div> <div><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00</div> <div><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00</div> <div><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</div> <div style="text-align: right; font-weight: bold; margin-top: 10px;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> <div>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).</div> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 5px;"><thead><tr><th style="width:15%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">NUMBER EXTRA</th><th style="width:10%;">RATE</th><th style="width:20%;"></th><th style="width:25%;"></th></tr></thead><tbody><tr><td>Total claims</td><td>36 - 20 =</td><td>16</td><td>x \$18.00</td><td></td><td>\$288.00</td></tr><tr><td>Independent claims</td><td>9 - 3 =</td><td>6</td><td>x \$84.00</td><td></td><td>\$504.00</td></tr><tr><td colspan="4">Multiple Dependent Claims (check if applicable).</td><td><input type="checkbox"/></td><td>\$0.00</td></tr><tr><td colspan="5" style="text-align: right; font-weight: bold;">TOTAL OF ABOVE CALCULATIONS =</td><td>\$1,832.00</td></tr><tr><td colspan="5"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.</td><td>\$0.00</td></tr><tr><td colspan="5" style="text-align: right; font-weight: bold;">SUBTOTAL =</td><td>\$1,832.00</td></tr><tr><td colspan="5">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</td><td>\$0.00</td></tr><tr><td colspan="5" style="text-align: right; font-weight: bold;">TOTAL NATIONAL FEE =</td><td>\$1,832.00</td></tr><tr><td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).</td><td><input type="checkbox"/></td><td>\$0.00</td></tr><tr><td colspan="5" style="text-align: right; font-weight: bold;">TOTAL FEES ENCLOSED =</td><td>\$1,832.00</td></tr><tr><td colspan="5"></td><td style="text-align: right;">Amount to be refunded</td><td style="text-align: center;">\$</td></tr><tr><td colspan="5"></td><td style="text-align: right;">charged</td><td style="text-align: center;">\$</td></tr></tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	36 - 20 =	16	x \$18.00		\$288.00	Independent claims	9 - 3 =	6	x \$84.00		\$504.00	Multiple Dependent Claims (check if applicable).				<input type="checkbox"/>	\$0.00	TOTAL OF ABOVE CALCULATIONS =					\$1,832.00	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.					\$0.00	SUBTOTAL =					\$1,832.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).					\$0.00	TOTAL NATIONAL FEE =					\$1,832.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				<input type="checkbox"/>	\$0.00	TOTAL FEES ENCLOSED =					\$1,832.00						Amount to be refunded	\$						charged	\$	CALCULATIONS PTO USE ONLY	
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a. ☒ A check in the amount of \$1,832.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2855 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Jeffrey S. Sharp
Customer No. 04743
Marshall, Gerstein & Borun
6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606
United States of America
(312) 474-6300
(312) 474-0448 (fax)

SIGNATURE

Jeffrey S. Sharp
NAME

31,879
REGISTRATION NUMBER

December 5, 2001
DATE